PTO/SB/05 (08-03) Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **ACM 354** Attorney Docket No. UTILITY PATENT APPLICATION Randall J. Huebner First Inventor TRANSMITTAL GUIDE SYSTEM FOR BONE-REPAIR DEVICES Title (Only for new nonprovisional applications under 37 CFR 1.53(b)) EV389123528US Express Mail Label No. Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. **X** Specification [Total Pages Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention Paper - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s) - Abstract of the Disclosure 9. 🗶 Assignment Papers (cover sheet & document(s)) 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 04 **✗** Power of 10. 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 5. Oath or Declaration 02 [Total Sheets 11. C English Translation Document (if applicable) a. X Newly executed (original or copy) Copies of IDS Information Disclosure 12. l Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) 13. L **Preliminary Amendment** (for continuation/divisional with Box 18 completed) 14. 🗶 Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** 15. L Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: ..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: ..... Prior application information: Examiner Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box Prior application information: 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS X **Customer Number:** 23581 Correspondence address below or L Name James R. Abney, Esq. Kolisch Hartwell, P.C. Address 520 S.W. Yamhill Street, Suite 200 City State

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35/U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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November 19, 2003

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**United States** 

James R. Abney, Esq.

Country

Signature

Name (Print/Type)

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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FEE	TR	AN	SMI	TT	AL
	for	FY	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

(\$)	1442.	.00

Complete if Known					
Application Number					
Filing Date	November 19, 2003				
First Named Inventor	Randall J. Huebner				
Examiner Name					
Art Unit					
Attorney Docket No.	ACM 354				

METHOD OF PAYMENT (check all that apply)				FEF	E CALCULATION (continued)	
Check Credit card Money Other None	3. Al	DDITI	ONAL	FEF	S	
Order     Deposit Account:	Large F	Entity	Small	Entity		
Deposit Account:  11-1540	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Name  Kolisch Hartwell, P.C.	1052	50	2052		Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	/
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804		Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805		Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	[]
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee 770.00	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451		Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 770.00		110	2452		5 Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	5 Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	480	2502		Design issue fee	
Total Claims 43 -20** = 23 x 18.00 = 414.00	1503	640	2503	320	) Plant issue fee	
Claims 00 - 3** = 03 X 86.00 = 258.00	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent 290.00 = 0.00	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1806	180	1806	3 180	) Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection	
1203 290 2203 145 Multiple dependent claims in excess 613	1810	770	2810	^ 395	(37 CFR 1.129(a)) 5 For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims	1010	770	2010	) 300	examined (37 CFR 1.129(b))	
over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	. 1
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination     of a design application	
SUBTOTAL (2) (\$) 672.00	Other	fee (sp	ecify) _			<u></u>
**or number previously paid, if greater; For Reissues, see above	*Redu	uced by	Basic F	Filing F	Fee Paid SUBTOTAL (3) (\$) 0.00	

SUBMITTED BY		(Complete (if applicable))		
Name (Print/Type)	James R. Abney, Esq.	Registration No. (Attorney/Agent) 42,253	Telephone	(503) 224-6655
Signature	Hames R. Winey		Date	November 19, 2003

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